



AUDIT COMMITTEE

DATE: Thursday, 31 March 2022
TIME: 10.30 am
VENUE: Committee Room - Town Hall,
Station Road, Clacton-on-Sea, CO15
1SE

MEMBERSHIP:

Councillor Coley (Chairman)	Councillor Miles
Councillor Alexander (Vice-Chairman)	Councillor Placey
Councillor Fairley	Councillor Steady
Councillor King	

Most Council meetings are open to the public and press. The space for the public and press will be made available on a first come first served basis. The meeting will normally be live streamed and the link to this is available at www.tendringdc.gov.uk/livemeetings Those attending the meeting may therefore be filmed. After the meeting the recording of the live stream will normally be available using the same link. Agendas and Minutes are published on the Council's website www.tendringdc.gov.uk

Agendas are available to view five working days prior to the meeting date and the Council aims to publish Minutes within five working days of the meeting. Meeting papers can be provided, on request, in large print, in Braille, or on disc, tape, or in other languages.

For further details and general enquiries about this meeting, contact Keith Simmons Email: democraticservices@tendringdc.gov.uk or Telephone Simmons on (01255 6865800 or email: democraticservices@tendringdc.gov.uk

DATE OF PUBLICATION: Wednesday, 23 March 2022

AGENDA

1 Apologies for Absence and Substitutions

The Committee is asked to note any apologies for absence and substitutions received from Members.

2 Minutes of the Last Meeting (Pages 1 - 4)

To confirm and sign as a correct record, the minutes of the last meeting of the Committee, held on Thursday 27 January 2022.

3 Declarations of Interest

Councillors are invited to declare any Disclosable Pecuniary Interests or Personal Interest, and the nature of it, in relation to any item on the agenda.

4 Questions on Notice pursuant to Council Procedure Rule 38

Subject to providing two working days' notice, a Member of the Committee may ask the Chairman of the Committee a question on any matter in relation to which the Council has powers or duties which affect the Tendring District **and** which falls within the terms of reference of the Committee.

5 Report of the Internal Audit Manager - A.1 - Internal Audit Plan 2022/2023 (Pages 5 - 18)

To seek the approval of the Audit Committee for the 2022/2023 Internal Audit Plan.

6 Report of Assistant Director (Partnerships) - A.2 - Response to Report of Ofsted following Inspection of Career Track (Pages 19 - 62)

To provide the Audit Committee with an overview of the organisation's improvement actions following the Ofsted inspection of Career Track between 3rd and 5th November 2021.

7 Report of Assistant Director (Partnerships) - A.3 - Tendring Careline: Recovery after Improvement Notice from TEC Services Association (Pages 63 - 76)

To inform the Audit Committee of the recovery actions taken by Tendring Careline after an improvement notice was issued by the TEC Services Association at its last audit of the service.

8 Report of Assistant Director (Finance & IT) - A.4 - Audit Committee Work Programme for 2022/2023 (Pages 77 - 84)

To present for approval the Audit Committee's proposed work programme covering the period April 2022 to March 2023.

9 Report of Assistant Director (Finance & IT) - A.5 - Corporate Risk Update (Pages 85 - 130)

To present to the Audit Committee the updated Corporate Risk Register.

10 Exclusion of Press and Public

The Committee is requested to consider passing the following resolution:-

“That, under Section 100A(4) of the Local Government Act 1972, the press and public be excluded from the meeting during consideration of Agenda Item 11 on the grounds that it involves the likely disclosure of exempt information as defined in paragraph 7 of Part 1 of Schedule 12A, as amended, of the Act.”

11 Report of Assistant Director (Finance & IT) - B.1 - Risk Based Verification Policy (Pages 131 - 140)

To seek the necessary annual approval of the Council’s Risk Based Verification Policy.

Date of the Next Scheduled Meeting

The next scheduled meeting of the Audit Committee is to be held in the Committee Room - Town Hall, Station Road, Clacton-on-Sea, CO15 1SE at 10.30 am on Monday, 25 April 2022.

Information for Visitors

FIRE EVACUATION PROCEDURE

There is no alarm test scheduled for this meeting. In the event of an alarm sounding, please calmly make your way out of any of the fire exits in the room and follow the exit signs out of the building.

Please heed the instructions given by any member of staff and they will assist you in leaving the building and direct you to the assembly point.

Please do not re-enter the building until you are advised it is safe to do so by the relevant member of staff.

Your calmness and assistance is greatly appreciated.